

# GRADUATE ALGEBRA LECTURE NOTES

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(These were adapted from Julia Pevtsova's lecture notes. They were given in Math 504 at the University of Washington on December 9th, 2015.)

Outline:

- (1) Prove Fundamental Theorem of Galois Theory (FTGT)
- (2) Application:  $\mathbb{C} = \overline{\mathbb{R}}$
- (3) Inverse Galois problem

**Theorem 1.** *Let  $K/k$  be a finite Galois extension. There is a bijection*

$$\begin{array}{ccc} \{\text{sub-extensions } K/F/k\} & \xleftrightarrow{\sim} & \{\text{subgroups } 1 \leq H \leq G\} \\ F & \xrightarrow{\Phi} & \text{Gal}(K/F) \\ K^H & \xleftarrow{\Psi} & H \end{array}$$

*called the Galois correspondence.*

*Proof.* Last time, showed

**Lemma 2.**  $K^{\text{Gal}(K/k)} = k$

Since  $K/F$  is Galois, lemma says  $K^{\text{Gal}(K/F)} = F$ , i.e.  $\Psi \circ \Phi = \text{id}$ .

Last time, stated

**Theorem 3** (Artin).  *$K$  a field,  $G \leq \text{Aut}(K)$  (ring aut's),  $k := K^G$ ,  $n := |G| < \infty$ . Then*

- (1)  $K/k$  is Galois of degree  $n$
- (2)  $\text{Gal}(K/k) = G$ .

*Proof.* Last time, stated

**Lemma 4.** *Let  $K/k$  be algebraic, separable. Suppose for all  $\alpha \in K$  there exists  $f \in K[x]$  such that  $\deg f \leq n$  and  $f(\alpha) = 0$ . Then  $[K : k] \leq n$ .*

*Proof.* Suppose not, so since  $K/k$  is algebraic, there exists some finite sub-extension  $k \subset F \subset K$  such that  $n < [F : k] < \infty$ . Now  $F/k$  is separable, so  $F = k(\alpha)$ . But  $[F : k] = \deg \text{Irr}(\alpha, k) \leq n < [F : k]$ , a contradiction.  $\square$

Returning to Artin's theorem, we have

**Claim 5.** *For any  $\alpha \in K$ , there is some  $f \in k[x]$  such that*

- (1)  $f(\alpha) = 0$
- (2)  $\deg f \leq n$
- (3)  $f$  splits in  $K$

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(4)  $f$  has distinct roots

Assuming the claim, by the (2) and the lemma,  $[K : k] \leq n$ . Also  $K/k$  is separable (4) and normal (3) so Galois. Now  $G \leq \text{Gal}(K/k)$ , so

$$n = |G| \leq |\text{Gal}(K/k)| = [K : k] \leq n,$$

hence  $G = \text{Gal}(K/k)$ , which completes Artin's theorem. To wrap up the claim:

*Proof (of claim).*  $G$  acts on  $K$ , hence it also acts on the orbit  $G \cdot \alpha = \{\sigma(\alpha) : \sigma \in G\} =: X$ , so  $G$  acts on  $X$  by permutations. Now set  $f(x) := \prod_{\beta \in X} (x - \beta)$ . Since  $G$  permutes  $X$ , it permutes  $f$ , so it fixes  $f$ . Then  $f(x) \in K^G[x] = k[x]$  and (1)-(4) are clear.  $\square$

$\square$

Artin's theorem says  $\text{Gal}(K/K^G) = G$ , so  $\text{Gal}(K/K^H) = H$ , i.e.  $\Phi \circ \Psi = \text{id}$ , completing the Galois correspondence proof.  $\square$

**Remark 6.** Contrast FTGT with the lattice isomorphism theorem, say for groups:  $G \xrightarrow{\pi} G/K$  induces

$$\begin{aligned} \{H : K \leq H \leq G\} &\xrightarrow{\sim} \{S : S \leq G/K\} \\ H &\mapsto H/K \\ \pi^{-1}(S) &\leftrightarrow S \end{aligned}$$

This preserves lots more structure as well, e.g.  $H \trianglelefteq G \Leftrightarrow H/K \trianglelefteq G/K$ . The Galois correspondence does too:

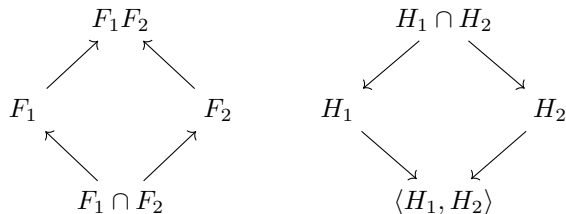
**Theorem 7.** Suppose  $K/k$  is a Galois extension. Let  $F, F_1, F_2$  be subfields corresponding to subgroups  $H, H_1, H_2$  of  $\text{Gal}(K/k)$ , respectively.

- (a)  $F_1 \subset F_2 \Leftrightarrow H_1 \supset H_2$
- (b)  $F_1 F_2 \leftrightarrow H_1 \cap H_2$
- (c)  $F_1 \cap F_2 \leftrightarrow \langle H_1, H_2 \rangle$
- (d)  $[K : F] = |H|$ ,  $[F : k] = [G : H]$ .
- (e)  $F/k$  is normal if and only if  $H \trianglelefteq G$ . In that case  $\text{Gal}(F/k) \cong G/H$ .

Diagrammatically,

$$\begin{array}{ccc} K & & 1 \\ |H| \uparrow & & \downarrow \\ F & & H \\ [G:H] \uparrow & & \downarrow \\ k & & G \end{array}$$

and



*Proof.* For (a),  $H_1 \leq H_2$  says  $K^{H_1} \supset K^{H_2}$  since being fixed under  $H_2$  is more restrictive than being fixed under  $H_1$ . For (b) and (c), everything can be described in terms of the partial orders. For instance,  $F_1 \cap F_2$  is the unique largest subfield contained in both  $F_1$  and  $F_2$ . (b) and (c) then follow formally from (a). (d) is obvious.

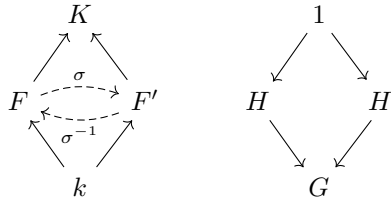
We turn to (e). For  $(\Rightarrow)$ , if  $F/k$  is normal, we claim there is a short exact sequence

$$1 \longrightarrow \text{Gal}(K/F) \xrightarrow{\quad} \text{Gal}(K/k) \xrightarrow{\quad \pi \quad} \text{Gal}(F/k) \longrightarrow 1$$

which gives  $H \trianglelefteq G$  and  $\text{Gal}(F/k) \cong G/H$ . The inclusion is trivially an injection. Define  $\pi(\sigma) := \sigma|_F$ . Check:

- $\pi$  well-defined: by normality,  $\sigma|_F: F \rightarrow K$  is actually  $\sigma|_F: F \rightarrow F$ .
- Exactness at  $G$ :  $\ker \pi = \{\sigma : \sigma|_F = \text{id}\} = \text{Gal}(K/F)$ .
- Exactness at  $\text{Gal}(F/k)$ : for  $\tau \in \text{Gal}(F/k)$ , by the extension theorem again using normality there exists  $\tilde{\tau}: K \rightarrow K$  such that  $\tilde{\tau}|_F = \tau$ , so  $\pi$  is surjective.

For  $(\Leftarrow)$ , suppose  $F/k$  were not normal. Then there is some  $\tau: F \rightarrow \bar{k}$  with  $\tau(F) \neq F$ . Extend this to  $\sigma: K \rightarrow \bar{K} = \bar{k}$ . Since  $K/k$  is normal,  $\sigma \in \text{Gal}(K/k)$  with  $\sigma|_F = \tau$ . Now  $\sigma(F) = \tau(F) \neq F$ , so set  $F' := \sigma(F)$ . Use the Galois correspondence to get



It is easy to see  $\sigma H \sigma^{-1} = H'$ —for instance,  $\sigma H \sigma^{-1}$  fixes  $F'$ —but  $F \neq F'$  says  $H \neq H'$ , so  $H \not\trianglelefteq G$ . □

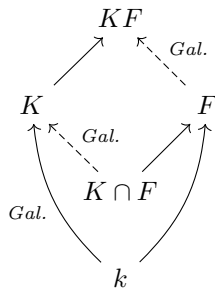
We have an even stronger analogue of the second (diamond) isomorphism theorem:

**Theorem 8.** *Let  $K/k$  be Galois and let  $F/k$  be any field extension. Then  $K/K \cap F$  and  $KF/F$  are Galois and*

$$\text{Gal}(KF/F) \cong \text{Gal}(K/K \cap F)$$

$$\sigma \xrightarrow{\phi} \sigma|_K$$

*Diagrammatically,*



*Proof.* That  $K/K \cap F$  is Galois is clear. To see that  $KF/F$  is Galois, note that  $K/k$  is the splitting field of some separable  $f \in k[x]$ . It's easy to check that  $KF/F$  is the splitting field of  $f \in F[x]$  as well. For the isomorphism, check...

- Well-defined:  $\sigma|_K: K \rightarrow KF$  gives  $\sigma|_K: K \rightarrow K$  since  $\sigma|_K$  fixes  $k$  and  $K/k$  is normal.
- Injective:  $\sigma|_K = \text{id}$  says  $\sigma|_F$  and  $\sigma|_K$  are id, so  $\sigma|_{KF} = \text{id}$
- Surjective:  $\text{im } \phi = \text{Gal}(K/K \cap F)$  iff  $K^{\text{im } \phi} = K \cap F$ . Pick  $\alpha \in K$ . Now

$$\begin{aligned} \alpha \in K^{\text{im } \phi} &\Leftrightarrow \sigma|_K(\alpha) = \alpha, \forall \sigma \in \text{Gal}(KF/F) \\ &\Leftrightarrow \alpha \in (KF)^{\text{Gal}(KF/F)} = F, \end{aligned}$$

so indeed  $K^{\text{im } \phi} = K \cap F$ . □

**Example 9.** We apply the FTGT to show that  $\mathbb{C}$  is algebraically closed using a classic, mostly algebraic proof. We use two facts:

**Lemma 10.** *We have*

- (1) All  $a + bi \in \mathbb{R}(i) =: \mathbb{C}$  have a square root.
- (2) If  $f \in \mathbb{R}[x]$  has odd degree, then  $f$  has a root in  $\mathbb{R}$

*Proof.* For (1), set

$$c^2 := \frac{a + \sqrt{a^2 + b^2}}{2} \quad d^2 := \frac{-a + \sqrt{a^2 + b^2}}{2}.$$

Then

$$(c + di)^2 = (c^2 - d^2) + (2cd)i = \dots = a + bi.$$

(2) is an immediate consequence of the intermediate value theorem. □

As a corollary,  $\mathbb{C}$  has no degree 2 extensions. Now, suppose  $L/\mathbb{C}$  is a finite extension. Possibly extending  $L$  further, we may assume  $L/\mathbb{R}$  is Galois. Letting  $H$  be a Sylow 2-subgroup (possibly  $H = 1$ ) of  $\text{Gal}(L/\mathbb{R})$  gives:

$$\begin{array}{ccc} & L & 1 \\ & \uparrow |H| & \downarrow \\ & L^H & H \\ |G|/|H| \text{ odd} & \uparrow & \downarrow \\ & \mathbb{R} & G \end{array}$$

Now we have some  $\beta$  with  $\mathbb{R}(\beta) = L^H$ . Then  $\text{Irr}(\beta, \mathbb{R})$  has odd degree and is irreducible, so from (2) it must be linear, so  $G = H$  is a 2-group and  $[L : \mathbb{C}]$  is a power of 2. If  $[L : \mathbb{C}] > 1$ , then  $\text{Gal}(L/\mathbb{C})$  has an index 2 subgroup, so  $L/\mathbb{C}$  has a degree 2 extension, contrary to the corollary.

**Remark 11.** We end with a few brief remarks on the inverse Galois problem. First, some motivation:

**Theorem 12.** *For all finite  $G$ , there exists  $L/F$  Galois such that  $\text{Gal}(L/F) = G$ .*

*Proof.* Start with the  $G = S_n$  case. Let

$$\begin{aligned} K &:= F(x_1, \dots, x_n) = \text{Frac}(F[x_1, \dots, x_n]) \\ L &:= K(e_1, \dots, e_n) = \text{Frac}(F[e_1, \dots, e_n]) \end{aligned}$$

where  $e_i$  is the degree  $i$  elementary symmetric polynomial in  $x_1, \dots, x_n$ . Let  $S_n$  act on  $K$  by permuting the  $x_i$ . By homework,

$$F[x_1, \dots, x_n]^{S_n} = F[e_1, \dots, e_n],$$

so

$$F(x_1, \dots, x_n)^{S_n} = F(e_1, \dots, e_n)$$

(formal verification/exercise) and  $\text{Gal}(K/L) = S_n$  by our Artin's theorem. By the Galois correspondence, subextensions of  $K/L$  give rise to all subgroups of  $S_n$ , which covers all finite  $G$  as  $n$  varies.  $\square$

Hence it's relatively easy to get an arbitrary Galois group, especially if we allow the bottom field to vary. However...

**Conjecture 13.** *For any finite group  $G$ , there exists  $L/\mathbb{Q}$  with  $\text{Gal}(L/\mathbb{Q}) \cong G$ .*

Some known cases:

- True for cyclic groups and generally abelian groups (classical)
- True for  $S_n$  and  $A_n$  (Hilbert)
- True for solvable groups (Shafarevich)
- True for groups of odd order (these are solvable by Feit-Thompson)
- True for all sporadic simple groups except possibly  $M_{23}$  (according to Noam Elkies on MO as of two years ago)